

6-14-01

A

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 27866/37501

06/13/01
1031 U.S. PTO

10/11/90
09/880573
U.S. PTO

CONTINUING APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

3/a

**Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

Sir:

This is a request under 37 CFR 1.53 for filing a

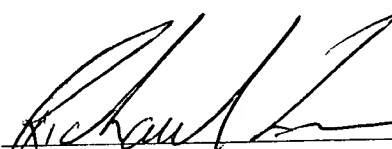
- ☒ continuation application.
- ☐ divisional application.

1. Particulars of Prior Application

Application Serial No: 09/099,639
 Filed on: June 18, 1998
 Title: PROTOCADHERIN MATERIALS AND METHODS
 Art Unit: 1647
 Examiner: David Romeo
 Prior Docket No.: 27866/34703

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Continuing Application Transmittal Under 37 CFR 1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **June 13, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL 564 460 414 US.


 Richard Zimmermann

09860573-061301

2. This request is filed by:

1. Full Name of Inventor	Family Name Shintaro	First Given Name Suzuki	Second Given Name
Residence & Citizenship	City Torrance	State or Foreign Country California	Country of Citizenship Japan
Post Office Address	Post Office Address 5501 Arvada Street	City Torrance	State & Zip Code/Country California/90505/USA
2. Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
3. Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

3. **Amendments**

- ☒ Amend the specification by inserting before the first line the sentence:

a¹
This is a **Continuation** of U.S. application Serial No. 09/099,639, filed June 18, 1998, which in turn is a **Divisional** of U.S. application Serial No. 08/268,161, filed June 27, 1994, which in turn is a **Continuation-In-Part** of International application No. PCT/US93/12588, filed December 23, 1993, which in turn is a **Continuation-In-Part** of U.S. application Serial No. 07/998,003, filed December 29, 1992.

- ☐ Cancel claims _____ in the prior application before calculating the filing fee.
- ☐ A Preliminary Amendment is enclosed.
- ☐ The filing fee is based upon entry of the foregoing amendment(s) (if any).

4. **Copy of Prior Application**

The enclosed is a copy of the prior complete application, including the specification (with claims), drawings, the oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

5. **Incorporation By Reference**

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under paragraph 4, above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. **Priority**

- ☐ Priority of application No. _____, filed on _____ in _____ is claimed under 35 USC 119.
- ☐ The certified copy(ies) was(were) filed in prior U.S. application Serial No. _____.
- ☐ The certified copy(ies) has(have) not been filed.

7. **Assignment**

- ☒ The prior application is assigned of record to Doheny Eye Institute, and has been recorded at Reel No. 7569, Frame No. 0779.

8. **Small Entity Status**

- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ A small entity statement is(are) attached.

9. Application to Be Published

- ☒ Yes.
- ☐ No. A Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i) is attached.

10. Fee Calculation

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355.00		\$710.00
TOTAL	50 -20	= 30	X 9 =	\$270.00	X 18 =	\$
INDEP.	20 - 3	= 17	X 40 =	\$340.00	X 80 =	\$
<input checked="" type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 135 =	\$135.00	+ 270 =	\$
Filing Fee:				\$1100.00	OR	\$

11. Method of Payment of Fees

- ☒ Attached is a check in the amount of: \$1100.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$ _____
A copy of this Transmittal is enclosed.

12. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

13. Correspondence Address

Customer No.: 04743

Respectfully submitted,

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By:

Greta E. Noland

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Reg. No: 35,302

June 13, 2001

04743-06402